

PTO/SB/08A (04-07)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 14

Complete if Known

Application Number	10/615,727
Filing Date	July 9, 2003
First Named Inventor	Mark Reiley
Art Unit	3738
Examiner Name	ISABELLA, DAVID J
Attorney Docket Number	10002-701.401

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	US- 1,308,451	7/1/1919	Schachat	
	406	US- 2,502,902	4/4/1950	Tofflemire, Benjamin	
	2	US- 2,930,133	3/29/1960	Thompson	
	3	US- 2,959,861	11/15/1960	Stromquist	
	4	US- 3,596,656	8/3/1971	Kaute	
	405	US- 3,710,789	1/16/1973	Ersek, Robert	
	442	US- 3,941,127	3/2/1976	Froning	
	8	US- 4,123,848	11/7/1978	Emmerich et al.	
	10	US- 4,210,317	7/1/1980	Spann et al.	
	12	US- 4,271,836	6/9/1981	Bacal et al.	
	15	US- 4,472,840	9/25/1984	Jefferies	
	403	US- 4,502,161	3/5/1985	Wall, William	
	431	US- 4,554,914	11/26/1985	Kapp et al.	
	16	US- 4,611,581	9/16/1986	Steffee	
	17	US- 4,693,722	9/15/1987	Wall	
	432	US- 4,697,582	10/6/1987	William	
	18	US- 4,710,075	12/1/1987	Davison	
	21	US- 4,778,472	10/18/1988	Homsy et al.	
	22	US- 4,805,602	2/21/1989	Puno et al.	

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	290	FR 2726459 (AB TRN)	5/10/1996	Desauge Jean Pierre		
	291	FR 2749155 (AB TRN)	12/5/1997	Albert P. Alby		
	374	JP 10082605 A (AB TRN)	3/31/1998	Takeuchi et al.		
	373	JP 59010807 A (AB TRN)	1/1/1984	Ando, Hisao		
	305	WO 01/06939 A1 (AB TRN)	2/1/2001	Eurosurgical		
	306	WO 01/15638 A1	3/8/2001	Cauthen Research Group, Inc		

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Sheet 2

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		Number-Kind Code ² (if known)			
	26	US- 4,917,701	4/17/1990	Morgan	
	27	US- 4,950,270	8/21/1990	Bowman et al.	
	28	US- 4,955,916	9/11/1990	Carignan et al.	
	433	US- 4,957,495	9/18/1990	Kluger	
	29	US- 4,987,904	1/29/1991	Wilson	
	30	US- 5,000,165	3/19/1991	Watanabe	
	31	US- 5,015,255	5/14/1991	Kuslich	
	32	US- 5,019,081	5/28/1991	Watanabe	
	34	US- 5,062,845	11/5/1991	Kuslich et al.	
	35	US- 5,070,623	12/10/1991	Barnes	
	38	US- 5,108,399	4/28/1992	Eitenmuller et al.	
	39	US- 5,129,900	7/14/1992	Asher et al.	
	44	US- 5,284,655	2/8/1994	Bogdanský et al.	
	45	US- 5,300,073	4/5/1994	Ray et al.	
	46	US- 5,303,480	4/19/1994	Chek	
	49	US- 5,312,409	5/17/1994	McLaughlin et al.	
	50	US- 5,314,429	5/24/1994	Goble	
	51	US- 5,314,476	5/24/1994	Prewett et al.	
	52	US- 5,314,486	5/24/1994	Zang et al.	

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	307	WO 01/28442 A1 (AB TRN)	4/26/2001	Spine Next		
	296	WO 96/00049 A1 (AB TRN)	1/4/1996	Fairant		

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		Number-Kind Code ² (if known)			
	375	US- 5,314,492	5/24/1994	Hamilton et al.	
	53	US- 5,329,933	7/19/1994	Graf	
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	58	US- 5,405,390	4/11/1995	O'Leary et al.	
	434	US- 5,413,576	5/9/1995	Rivard	
	430	US- 5,415,659	5/16/1995	Lee et al.	
	404	US- 5,443,483	8/22/1995	Kirsch, Axel	
	62	US- 5,445,639	8/29/1995	Kuslich et al.	
	63	US- 5,458,641	10/17/1995	Ramirez Jimenez	
	357	US- 5,474,551	12/12/1995	Finn et al.	
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	67	US- 5,491,882	2/20/1996	Walston et al.	
	361	US- 5,501,684	3/26/1996	Schlapfer et al.	
	68	US- 5,507,823	4/16/1996	Walston et al.	
	69	US- 5,510,396	4/23/1996	Prewett et al.	
	77	US- 5,569,247	10/29/1996	Morrison	
	80	US- 5,571,191	11/5/1996	Fitz	
	81	US- 5,575,792	11/19/1996	Errico et al.	

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		Number-Kind Code ² (if known)			
	82	US- 5,577,995	11/26/1996	Walker et al.	
	83	US- 5,587,695	12/24/1996	Warmerdam	
	84	US- 5,603,713	2/18/1997	Aust et al.	
	85	US- 5,609,641	3/11/1997	Johnson et al.	
	86	US- 5,643,263	7/1/1997	Simonson	
	88	US- 5,649,930	7/22/1997	Kertzner	
	90	US- 5,658,338	8/19/1997	Tullos et al.	
	91	US- 5,662,651	9/2/1997	Tornier et al.	
	95	US- 5,678,317	10/21/1997	Stefanakos	
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	97	US- 5,683,392	11/4/1997	Richelsoph et al.	
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	100	US- 5,688,274	11/18/1997	Errico et al.	
	101	US- 5,690,630	11/25/1997	Errico et al.	
	102	US- 5,700,268	12/23/1997	Bertin	
	104	US- 5,704,941	1/6/1998	Jacober et al.	
	106	US- 5,725,527	3/10/1998	Biedermann et al.	
	107	US- 5,738,585	4/14/1998	Hoyt, III et al.	
	108	US- 5,741,255	4/21/1998	Krag et al.	

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /CDP/

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	146	US- 6,086,590	7/11/2000	Margulies et al.	
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	153	US- 6,165,177	12/26/2000	Wilson et al.	
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	158	US- 6,214,012	4/10/2001	Karpman et al.	
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	160	US- 6,248,105	6/19/2001	Schl��pfer et al.	
	162	US- 6,290,703	9/18/2001	Ganem	
	166	US- 6,340,361	1/22/2002	Kraus et al.	
	170	US- 6,368,320	4/9/2002	Le Couedic et al.	
	180	US- 6,565,565	5/20/2003	Yuan et al.	
	182	US- 6,572,617	6/3/2003	Senegas	
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	192	US- 6,712,818	3/30/2004	Michelson	
	194	US- 6,749,361	6/15/2004	Hermann et al.	
	201	US- 6,949,123	9/27/2005	Reiley	
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	255	US- 2005/0137705 A1	6/23/2005	Reiley	
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	257	US- 2005/0143818 A1	6/30/2005	Yuan et al.	
	258	US- 2005/0149190 A1	7/7/2005	Reiley	
	262	US- 2005/0234552 A1	10/20/2005	Reiley	
	264	US- 2005/0240264 A1	10/27/2005	Tokish, JR. et al.	
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	271	US- 2005/0283238 A1	12/22/2005	Reiley	
	272	US- 2006/0009847 A1	1/12/2006	Reiley	
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	274	US- 2006/0009849 A1	1/12/2006	Reiley	
	276	US- 2006/0041311 A1	2/23/2006	McLeer	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 9

of 14

Complete if Known

Application Number	10/615,727
Filing Date	July 9, 2003
First Named Inventor	Mark Reiley
Art Unit	3738
Examiner Name	ISABELLA, DAVID J
Attorney Docket Number	10002-701.401

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	277	US- 2006/0052785 A1	3/9/2006	Augustino et al.	
	278	US- 2006/0058791 A1	3/16/2006	Broman et al.	
	279	US- 2006/0079895 A1	4/13/2006	McLeer	
	281	US- 2006/0085072 A1	4/20/2006	Funk et al.	
	282	US- 2006/0085075 A1	4/20/2006	McLeer	
	283	US- 2006/0100707 A1	5/11/2006	Stinson et al.	
	284	US- 2006/0100709 A1	5/11/2006	Reiley et al.	
	370	US- 2006/0149375 A1	7/6/2006	Yuan et al.	
	285	US- 2006/0184180 A1	8/17/2006	Augustino et al.	
	365	US- 2006/0265070 A1	11/23/2006	Stinson et al.	
	368	US- 2007/0079517 A1	4/12/2007	Augustino et al.	
	372	US- 2007/0088358 A1	4/19/2007	Yuan et al.	
	366	US- 2007/0093833 A1	4/26/2007	Kuiper et al.	
	371	US- 2007/0168029 A1	7/19/2007	Yuan et al.	
	369	US- 2007/0233256 A1	10/4/2007	Ohrt et al.	
	381	US- 2007/0255411 A1	11/1/2007	Reiley, Mark	
	376	US- 2007/0265706 A1	11/15/2007	Reiley et al.	
	367	US- 2007/0276374 A1	11/29/2007	Broman et al.	
	384	US- 2007/0282445 A1	12/6/2007	Reiley, Mark	

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Sheet	10
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of 14

Application Number	10/615 727
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Filing Date	July 9, 2003
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First Named Inventor	Mark Reiley
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Art Unit	3738
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Examiner Name	ISABELLA, DAVID J
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Attorney Docket Number	10002-701.401
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	10/615,727
		Filing Date	July 9, 2003
		First Named Inventor	Mark Reiley
		Art Unit	3738
		Examiner Name	ISABELLA, DAVID J
Sheet 11 of 14	Attorney Docket Number		10002-701.401

NON PATENT LITERATURE DOCUMENTS			
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	382	BERG, et al; U.S. Pat. App. # 11/800,895 entitled "Minimally Invasive Spine Restoration Systems, Devices, Methods, and Kits," filed 5/7/2007.	
	377	REILEY et al; U.S. Pat. App. # 11/577,872 entitled "Facet Joint Prosthesis" which entered the U.S. from the National Phase 4/24/2007.	
	378	REILEY et al; U.S. Pat. App. # 11/577,923 entitled "Crossbar spinal prosthesis having a modular design and systems for treating spinal pathologies" filed 4/25/2007.	
	379	KUIPER et al; U.S. Pat. App. # 11/577,964 entitled "Crossbar Spinal Prosthesis Having a Modular Design and Systems for Treating Spinal Pathologies," filed 4/25/2007.	
	380	KUIPER et al; U.S. Pat. App. # 11/577,967 entitled "Crossbar Spinal Prosthesis having a Modular Design and Systems for Treating Spinal Pathologies," filed 4/25/2007.	
	387	RALPH et al; U.S. Pat. App. # 11/837,335 entitled "Angled Washer Polyaxial Connection for Dynamic Spine Prosthesis," filed 8/10/2007.	
	389	STONE et al; U.S. Pat. App. # 11/861,239 entitled "Facet Replacement Device Removal and Revision Systems and Methods" filed 9/25/2007.	
	398	McLEER, THOMAS, U.S. Pat. App. # 11/934,724 entitled "Polymeric Joint Complex and Methods of Use" filed 11/2/2007.	
	399	McLEER, THOMAS, U.S. Pat. App. # 11/934,720 entitled "Polymeric Joint Complex and Methods of Use" filed 11/2/2007.	
	400	McLEER, THOMAS, U.S. Pat. App. # 11/934,719 entitled "Polymeric Joint Complex and Methods of Use" filed 11/2/2007.	

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		Filing Date	July 9, 2003
		First Named Inventor	Mark Reiley
		Art Unit	3738
		Examiner Name	ISABELLA, DAVID J
Sheet 12 of 14	Attorney Docket Number	10002-701.401	

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	401	REILEY, MARK, U.S. Pat. App. #11/934,713 entitled "Facet arthroplasty devices and methods" filed 11/2/2007.	
	402	REILEY, MARK, U.S. Pat. App. # 11/939,540 entitled "Facet arthroplasty devices and methods" filed 11/13/2007.	
	408	REILEY, MARK, U.S. Pat. App. # 11/943,458 entitled "Facet arthroplasty devices and methods" filed 11/20/2007.	
	409	REILEY, MARK, U.S. Pat. App. # 11/949,007 entitled "Facet arthroplasty devices and methods" filed 11/30/2007.	
	410	REILEY, MARK, U.S. Pat. App. # 11/949,000 entitled "Facet arthroplasty devices and methods" filed 11/30/2007.	
	411	REILEY et al.; U.S. Pat. App. # 11/948,963 entitled "Prostheses, systems and methods for replacement of natural facet joints with artificial facet joint surfaces" filed 11/30/2007.	
	412	REILEY, MARK, U.S. Pat. App. # 11/957,208 entitled "Facet arthroplasty devices and methods" filed 12/14/2007.	
	413	REILEY et al.; U.S. Pat. App. # 11/957,315 entitled "Prostheses, systems and methods for replacement of natural facet joints with artificial facet joint surfaces" filed 12/14/2007.	
	414	REILEY, MARK; U.S. Pat. App. # 11/957,175 entitled "Facet arthroplasty devices and methods" filed 12/14/2007.	
	415	REILEY et al.; U.S. Pat. App. # 11/957,290 entitled "Prostheses, systems and methods for replacement of natural facet joints with artificial facet joint surfaces" filed 12/14/2007.	

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		Application Number	10/615,727
		Filing Date	July 9, 2003
		First Named Inventor	Mark Reiley
		Art Unit	3738
		Examiner Name	ISABELLA, DAVID J
Sheet 13	of 14	Attorney Docket Number	10002-701.401

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	416	REILEY, MARK; U.S. Pat. App. # 11/956,961 entitled "Facet arthroplasty devices and methods" filed 12/14/2007.	
	417	REILEY, MARK; U.S. Pat. App. # 11/957,149 entitled "Facet arthroplasty devices and methods" filed 12/14/2007.	
	418	REILEY, MARK; U.S. Pat. App. # 11/957,061 entitled "Facet arthroplasty devices and methods" filed 12/14/2007.	
	419	REILEY et al.; U.S. Pat. App. # 11/957,259 entitled "Prostheses, systems and methods for replacement of natural facet joints with artificial facet joint surfaces" filed 12/14/2007.	
	420	REILEY, MARK; U.S. Pat. App. # 12/016,177 entitled "Facet arthroplasty devices and methods" filed 01/17/2008.	
	421	KUIPER et al.; U.S. Pat. App. # 11/948,994 entitled "Crossbar spinal prosthesis having a modular design and related implantation methods" filed 11/30/2007	
	422	KUIPER et al.; U.S. Pat. App. # 11/948,973 entitled "Crossbar spinal prosthesis having a modular design and related implantation methods" filed 11/30/2007	
	423	KUIPER et al.; U.S. Pat. App. # 11/957,303 entitled "Crossbar spinal prosthesis having a modular design and related implantation methods" filed 11/30/2007	
	424	McLEER, THOMAS; U.S. Pat. App. # 11/952,988 entitled "Polymeric joint complex and methods of use" filed 12/7/2007	
	429	YUAN et al.; U.S. Pat. App. # 12/027,899 entitled "Prostheses, tools and methods for replacement of natural facet joints with artificial facet joint surfaces," filed 2/7/2008	

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	452	REILEY et al; U.S. Pat. App. # 12/058,403 entitled "Polyaxial adjustment of facet joint prostheses," filed 3/28/2008.	
	341	LAM, K. N., et al. X-ray "Diagnosis: A Physician's Approach." Springer-Verlag; 1998.	
	347	POSNER, I. et al. A "Biomechanical Analysis of the Clinical Stability of the Lumbar and Lumbosacral Spine." Spine. 1982; 7(4): 374-389.	
	350	SLONE, R. M. et al. Body CT: A Practical Approach. The McGraw-Hill Companies; 1999.	
	351	STOUT, G. H. et al. X-Ray Structure Determination: A Practical Guide. 2nd Edition. John Wiley & Sons; 1989.	
	354	UCR Pedicle Screw System from SeaSpine (information available at http://www.seaspine.com/UCR_Pedicle_Screw_System.html). Accessed December 5, 2005.	
	355	Victrax of Lancashire, Great Britain. (information on Victrax available at http://www.matweb.com). Accessed December 5, 2005.	

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